

Applicant or Patentee: *Kai Hua Wang*

For: *A Composition Containing Biologically Active Polypeptides*
Suitable for the Oral Administration

Verified Statement (Declaration) Claiming Small Entity Status
(37 CFR 1.9(f) and 1.27(b)) - Independent Inventor

As a below named Inventor(s), I (We) hereby declare that I (We) qualify as an independent inventor(s) as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, U.S. Code, to the Patent and Trademark Office with regard to the Inventions entitled:

A Composition Containing Biologically Active Polypeptides
Suitable for the Oral Administration

described in

- ☒ the specification filed herewith,
☐ Application Serial No. _____, filed _____
☐ Patent No. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any right or interest in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license, any rights or interest in the invention, is listed below:

- ☐ no such person, concern or organization
☒ persons, concerns or organizations listed below*

**Note A separate verified statement is required for each person, concern or organization having a right or interest to the invention which claims small entity status*

Name Of Concern: InTec Holding, Inc.
(print name of ASSIGNEE)

Address: 100 North Hill Drive, Suite 35
Brisbane, CA 94005
USA

☐ -Individual ☒ -Small Business Concern ☐ -Nonprofit Organization

I (We) acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

Declaration

I/We Hereby declare that all statements made herein of my/our own knowledge are true that all statements made on upon information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the U.S. code and that such willful false statements may jeopardize the validity of the patent application and any patent issuing thereon.

Date Aug 8, 01

by Kai Hua Wang
Signature
Kai Hua Wang
(Print Name of Assignor)

512 Cedar Avenue
San Bruno, CA 94066
USA
(Address)

For: *A Composition Containing Biologically Active Polypeptides
Suitable for the Oral Administration*

**Verified Statement (Declaration) Claiming Small Entity Status
(37 CFR 1.9(f) and 1.27(c)) - Small Business Concern**

I hereby declare that I am

- () the Owner of the small business concern identified below;
(X) an Official of the small business concern empowered to act on behalf of the concern identified below:.

Name Of Concern InTec Holding, Inc.
(print name of ASSIGNEE)

Address 100 North Hill Drive, Suite 35
Brisbane, CA 94005
USA

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of the statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of persons employed on a full time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare the rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled

A Composition Containing Biologically Active Polypeptides
Suitable for the Oral Administration

and described in

- (X) the specification filed herewith,
() Application Serial No. _____, filed _____
() Patent No. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventors, who could not

qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

- (X) no such person, concern or organization
() persons, concerns or organizations listed below*

**Note A separate verified statement is required for each person, concern or organization having a right or interest to the invention which claims small entity status*

Name _____
Address _____

☐-Individual ☐-Small Business Concern ☐-Nonprofit Organization

Name _____
Address _____

☐-Individual ☐-Small Business Concern ☐-Nonprofit Organization

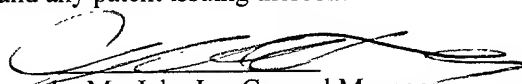
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).

Declaration

I/We Hereby declare that all statements made herein of my/our own knowledge are true that all statements made on upon information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the U.S. code and that such willful false statements may jeopardize the validity of the patent application and any patent issuing thereon.

Date _____

Mailing Address _____


by Mr. John Lu, General Manager
InTec Holding, Inc.
(print name of ASSIGNEE)
100 North Hill Drive, Suite 35
Brisbane, CA 94005
USA

UNITED STATES OF AMERICA
(Nationality)

Applicant or Patentee: *Kai Hua Wang*

For: *A Composition Containing Biologically Active Polypeptides*
Suitable for the Oral Administration

Assignment Of Invention

In consideration of the payment by the ASSIGNEE to ASSIGNOR of One Dollar (\$1.00), the receipt of which is hereby acknowledged and other good and valuable consideration:

ASSIGNOR:
(inventors or entity
who owns invention)

Kai Hua Wang
(Print Name of Assignor)

512 Cedar Avenue
San Bruno, CA 94066
USA
(Address)

UNITED STATES OF AMERICA
(Nationality)

hereby sells, assigns and transfers to

ASSIGNEE:
(inventors or entity
who owns invention)

InTec Holding, Inc.
(print name of ASSIGNEE)

100 North Hill Drive, Suite 35
Brisbane, CA 94005
USA

UNITED STATES OF AMERICA
(Nationality)

and the legal successor, assigns and legal representatives of ASSIGNEE, the entire right title and interest in the inventions relating to an *A Composition Containing Biologically Active Polypeptides Suitable for the Oral Administration*, to any component part and subassembly thereof; and to any improvements in the foregoing *A Composition Containing Biologically Active Polypeptides Suitable for the Oral Administration*, component part and subassemblies thereof, including, but not limited subject matter disclosed in

(X) Original patent application entitled *A Composition Containing Biologically Active Polypeptides Suitable for the Oral Administration*., executed on even date herewith;

() Pending patent application entitled _____,
filed on _____, Serial No. _____;

(X) All foreign equivalents of original patent application entitled ***A Composition Containing Biologically Active Polypeptides Suitable for the Oral Administration***, executed on even date herewith;

() All foreign equivalents of pending patent application entitled _____,
filed on _____, Serial No. _____;

ASSIGNOR hereby covenants that no assignment, sale agreement or encumbrance has been or will be made or entered into which would conflict with this assignment or sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said inventions conveyed herein and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said applications for Letters Patents and any equivalent thereof, which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/WE have hereunto set hand and seal this ____ day of _____.

Date Aug 8, 01

by Kai Hua Wang
Signature
Kai Hua Wang
(Print Name of Assignor)

512 Cedar Avenue
San Bruno, CA 94066
USA
(Address)

UNITED STATES OF AMERICA
(Nationality)

Applicant: *Kai Hua Wang*

For: *A Composition Containing Biologically Active Polypeptides*
Suitable for the Oral Administration

Combined Declaration & Power of Attorney For Original Application

As a below named Inventor(s), I/WE hereby declare that:

My/our residence, post office address and citizenship are as stated below next to my/our names(s). I/WE believe that I/we are the original and true inventor(s) of the subject matter described and claimed in application attached hereto and for a patent is sought.

A description of the Invention Entitled:

A Composition Containing Biologically Active Polypeptides
Suitable for the Oral Administration

appears in the specification and drawings which

☒ is attached hereto.

☐ was filed on _____, as Application Serial No. _____.

Acknowledgment of Review of Papers & Duty of Candor

I/WE further declare that I have reviewed and understand the contents of the above unidentified specification, including the claims (as amended by any amendment referenced above; and acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulation, § 1.56(a)

Priority claim

I hereby claim priority benefits under Title 35, U.S. Code, § 119 of any foreign applications for patent or inventor's certificate listed below (if any) and have also identified bellow and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed

☒ no such applications have been filed;
☐ such applications are listed below

Power of Attorney

John H. Faro (Reg. No 25,859), and Faro & Associates, are herein appointed and shall be recognized as My/Our Attorney, with full power and authority to prosecute this

application before the United States Patent & Trademark Office; and, before the Courts of the District of Columbia.

Correspondence

All correspondence in regard to this application is to be addressed to Applicants Attorney as follows:

Mr. John H. Faro
PO Box 4904
Key Biscayne, FL 33149-4904

Please Direct Telephone
Calls to Applicant's Attorney to
Phone (305) 365-7733
Facsimile (305) 365-7744

Declaration

I/We Hereby declare that all statements made herein of my/our own knowledge are true that all statements made on upon information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the U.S. code and that such willful false statements may jeopardize the validity of the patent application and any patent issuing thereon.

Date Aug 8, 01

by: Kai Hua Wang
Signature
Kai Hua Wang
(Print Name of Assignor)

512 Cedar Avenue
San Bruno, CA 94066
USA
(Address)